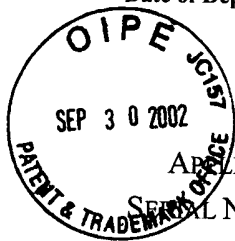


Express Mail Label No.: EV138527655US  
Date of Deposit: September 30, 2002

10-02-02 <sup>mt 11B</sup>  
PATENT APPLICATION  
Attorney Docket No. 21402-251 (CURA-551) *MP*



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : DeCristofaro, *et al.*

SERIAL NUMBER: 10/055,877

EXAMINER : Not Yet Assigned

FILING DATE : January 22, 2002

ART UNIT : 1614

FOR : NOVEL POLYPEPTIDES AND NUCLEIC ACIDS ENCODED  
THEREBY

**BOX SEQUENCE**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

**TRANSMITTAL LETTER**

Enclosed herewith are the following for the above-identified non-provisional patent application:

1. Notice to File Missing Parts (2 pages);
2. Response to Notice to File Missing Parts (1 page)
3. Check No. 14207 in the amount of \$130.00;
4. Combined Declaration and Power of Attorney for Patent Application  
(6 declarations, 11 pgs. Each = 66 total pgs.);
5. Preliminary Amendment (2 pages);
6. "Paper Copy" of the Sequence Listing (1 compact disc);
  - a. Computer Readable Form Copy of the Sequence Listing (1 compact disc);
  - b. Statement in Support of Computer Readable Form Submission (1 page);
7. Petition for One-Month Extension of Time (1 page);
8. Check No. 14208 in the amount of \$110.00; and
9. Return postcard.

Serial No. 10/055,877  
Applicants: DeCristofaro, et al

Applicant believes no additional fees to be due with this submission. However, the Commissioner is hereby authorized to charge any fee that may be due, or to credit any overpayment, to Deposit Account No. 50-0311 (Reference No. 21402-251 (CURA-551). A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,



Date: September 30, 2002



30623

PATENT TRADEMARK OFFICE

Ivor R. Elrifi, Reg. No. 39,529  
Cynthia Kozakiewicz, Reg. No. 42,764  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,877	01/22/2002	Marc F. DeCristofaro	21402-251 (CURA-551)

MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, MA 02111



Done By	
<input type="checkbox"/> Data Entry	<i>[Signature]</i>
<input checked="" type="checkbox"/> Docket Entry	
<input type="checkbox"/> Docket Cross Ref	
<input type="checkbox"/> Previous Invention	
<input type="checkbox"/> No Drawing to Ex	
<input type="checkbox"/> ELITE	
<input type="checkbox"/> Amendment	

CONFIRMATION NO. 9411

FORMALITIES LETTER



\*OC000000008199765\*

Date Mailed: 05/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

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130.00 OP

patin21help@uspto.gov or patin3help@uspto.gov

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.


**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

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*A copy of this notice **MUST** be returned with the reply.*



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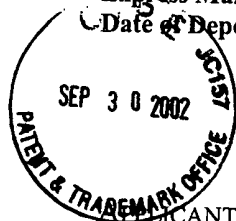
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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Date of Deposit: September 30, 2002

ATTORNEY DOCKET NO.: 21402-251 (CURA-551)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: DeCristofaro, *et al*  
SERIAL NUMBER: 10/055,877 EXAMINER: Not Yet Assigned  
FILING DATE: January 22, 2002 ART UNIT: 1614  
TITLE: NOVEL POLYPEPTIDES AND NUCLEIC ACIDS ENCODED THEREBY

**Box Sequence**  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202


**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice To File Missing Parts of Nonprovisional Application mailed May 29, 2002, Applicants submit herein an executed Combined Declaration and Power of Attorney form, payment of the surcharge of \$130.00 as set forth in 37 C.F.R. §1.16(e), a copy of the Notice to File Missing Parts of Nonprovisional Application, Petition for One-Month Extension of Time, a check in the amount of \$110.00 to cover the Petition fee, Statement in Support of CRF and Electronic and paper copy of sequence listing. This response is due on or before September 30, 2002, with the extension.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21402-251 (CURA-551).

Respectfully submitted,

Dated: September 30, 2002



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